

**EXETER CITY COUNCIL
AUDIT AND GOVERNANCE COMMITTEE**

PROGRESS OF 2023-24 AUDIT PLAN TO DATE

Please note that only the executive summary of each report is attached as an appendix to this report, as to include all actions agreed from each audit report in detail would result in a lengthy document. Members may request a full copy of any report once finalised or alternatively meet with the Audit Manager to discuss specific audits further.

Audit Review	Report Status	Overall Opinion	No. of Findings	Executive Summary of final report issued during this quarter
Health and Safety				
Corporate Governance				
Risk Management	In progress			
Information Governance				
Counter Fraud				
Partnerships				
Contract Management				
Community Grants Awarded	Final	Satisfactory	5 Medium 7 Low	Reported September 2023
External Funding / Grants Received	In progress			
Cyber Security	In progress			
Main Accounting	In progress			
Income Management	In progress			
Creditors				
<ul style="list-style-type: none"> • Creditors – Corporate credit card 	Final	Limited Assurance	5 Medium	Please see Appendix B
Treasury Management	Final	Substantial	2 Low	Please see Appendix C

Audit Review	Report Status	Overall Opinion	No. of Findings	Executive Summary of final report issued during this quarter
VAT				
Payments and collection	Final	Satisfactory	3 Medium 2 Low	Please see Appendix D
Sundry Debtors				
NDR	Final	Substantial	2 Medium 2 Low	Please see Appendix E
People Management (including Payroll)	Draft			
Licensing	In progress			
Fleet Management				
Car Parks – Stray dogs	Final	Satisfactory	3 Medium 6 Low	Reported September 2023
Net Zero/Carbon Reduction				
Housing – customers, assets, needs				
<ul style="list-style-type: none"> Housing – Laundry cash at Edwards Court 	Final	Substantial	2 Low	Reported September 2023
Planning	In progress			
Leisure Facilities - Spa	Final	Satisfactory	6 Medium 1 Low	Please see Appendix F
Leisure Facilities – Food & Beverages	Draft			
Visit Exeter	Draft			
Disabled Facilities Grant	Final	Substantial	1 Low	Please see Appendix G
Business Continuity Management – 2022-23	Final	Satisfactory	10 Medium	Please see Appendix H